

FORM PTO-1390 (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER  <b>RS-01420</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>09/936952</b>
INTERNATIONAL APPLICATION NO.  <b>PCT/IN00/00023</b>	INTERNATIONAL FILING DATE (dd/mm/yyyy)  <b>16/03/2000</b>	PRIORITY DATE CLAIMED (dd/mm/yyyy)  <b>17/03/1999</b>	
TITLE OF INVENTION  <b>AN IMPROVED REVERSIBLE CONTRACEPTIVE FOR MALE AND FEMALE</b>			
APPLICANT(S) FOR DO/EO/US  <b>GUHA, Sujoy Kumar</b>			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The U.S. has been elected by the expiration of 19 months from the priority date (Article 31). (A demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the priority date.)</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau (<i>Not Known</i>).</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application if filed in another language (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau (<i>Not Known</i>).</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s). (35 U.S.C. 371(c)(4)). <input checked="" type="checkbox"/> (Applicants' Note: Declaration will be filed soon, i.e., as soon as it is executed and returned by foreign client.)</li> <li>10. <input type="checkbox"/> A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>			
Items 11 to 20 below concern document(s) or information included:			
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement (IDS) under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</li> <li>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 34 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items of information: <ol style="list-style-type: none"> <li>(a) International Preliminary Examination Report (PCT/IPEA/409) (17 sheets);</li> <li>(b) Amendments Under Article 34 made 26 February 2001 in response to Written Opinion (15 sheets);</li> <li>(c) Written Opinion (PCT/IPEA/408) (7 sheets);</li> <li>(d) Demand (PCT/IPEA/401) (8 sheets);</li> <li>(e) Amendments Under Article 19 made 28 August 2000 in response to International Search Report (7 sheets);</li> <li>(f) International Search Report (PCT/ISA/210) (5 sheets);</li> <li>(g) Request and Fee Calculation Sheet (PCT/RO/101) (4 sheets); and</li> <li>(h) A check for \$540.00 to cover the filing fee for a small entity.</li> </ol> </li> </ol>			

09/936952

U.S. APPLICATION NO. (if known see 37 CFR 1.5) <b>09/936952</b>		INTERNATIONAL APPLICATION NUMBER <b>PCT/IN00/00023</b>		ATTORNEY DOCKET NUMBER <b>RS-01420</b>	
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21. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS	PTO USE ONLY
<b>BASIC NATIONAL FEE (37 CFR 1.492(A)(1)-(5)):</b> Neither international preliminary examination fee nor international search fee paid to USPTO and International Search Report not prepared by EPO or JPO. (37 CFR 1.492(a)(3))..... <b>\$1000.00</b>  International preliminary examination fee not paid to USPTO but International Search Report prepared by EPO or JPO. (37 CFR 1.492(a)(5))..... <b>\$860.00</b>  International preliminary examination fee not paid to USPTO but international search fee paid to USPTO. (37 CFR 1.492(a)(2))..... <b>\$710.00</b>  International preliminary examination fee paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4). (37 CFR 1.492(a)(1)).... <b>\$690.00</b>  International preliminary examination fee paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4). (37 CFR 1.492(a)(4))..... <b>\$100.00</b>  <b>ENTER APPROPRIATE BASIC FEE AMOUNT</b> =					
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	25 - 20 =	5	X \$18.00	\$90.00	
Independent Claims	2 - 3 =	-1	X \$80.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (IF APPLICABLE)				+ \$270.00	\$
<b>TOTAL OF ABOVE CALCULATIONS</b>				=	\$1080.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27. The fees indicated above are reduced by 1/2.				-	\$540.00
<b>SUBTOTAL</b>				=	\$540.00
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
<b>TOTAL NATIONAL FEE</b>				=	\$540.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				+	\$
<b>TOTAL FEES ENCLOSED</b>				=	\$540.00
				Amount to be refunded	\$
				charged	\$

a. ☒ Check no. 5375 in the amount of \$ 540.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

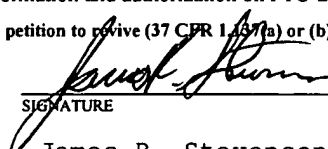
c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.497(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**James Ray & Associates**  
 2640 Pitcairn Road  
 Monroeville, Pa 15146

  
 \_\_\_\_\_  
 SIGNATURE  
**James R. Stevenson**  
 \_\_\_\_\_  
 NAME  
38,755  
 REGISTRATION NUMBER

09/936952  
JC03 H... PCT/PTO 17 SEP 2001

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Commissioner of Patents and Trademarks  
Washington, D.C. 20231

on September 17, 2001

*Jusette Flaherty*

09/936952

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Monroeville, Pennsylvania 15146

412-380-0725  
Facsimile 412-380-0748

JC03 Rec'd PCT/PTO 17 SEP 2001

**James O. Ray, Jr.\*****Forest C. Sexton\*\*****Edmond S. Miksch\*****James R. Stevenson\*\*\*****Elroy Strickland†****Amos Bartoli†****Michele K. Yoder\*****Robert A. Shack\*\****Of Counsel***Alfred D. Lobo***admitted to practice OH & CAFC Bar**Foreign Patent Manager***Todd A. Ray****Docket RS-01420****Date: 17 September 2001**

\* reg US Patent Agent

\*\* admitted to PA Bar, U.S. Dist. CT., W. PA

\*\*\* admitted to PA &amp; MI Bar

† admitted to D.C., CAFC, Sup. Ct.

\*\* admitted to PA &amp; MA Bar

Commissioner of Patents

Washington, D.C. 20231

**Attn: Box PCT**

**RE:** National Stage Entry in the United States for PCT International Application PCT/IN00/00023.

Dear Sir/Madam:

Pursuant to the Patent Cooperation Treaty (PCT), we request that the aforementioned PCT International Application be entered into the national stage in the United States. To that end, we submit the following items to the U.S. Patent and Trademark Office (USPTO):

- (1) PCT Transmittal Letter (Form PTO-1390) (2 sheets);
- (2) A Preliminary Amendment in which various claims have been amended to comport with U.S. practice (13 sheets);
- (3) International Preliminary Examination Report (PCT/IPEA/409) (17 sheets);
- (4) Amendments Under Article 34 made 26 February 2001 in response to Written Opinion (15 sheets);
- (5) Written Opinion (PCT/IPEA/408) (7 sheets);
- (6) Demand (PCT/IPEA/401) (8 sheets);
- (7) Amendments Under Article 19 made 28 August 2000 in response to International Search Report (7 sheets);
- (8) International Search Report (PCT/ISA/210) (5 sheets);
- (9) PCT International Application (i.e. Publication WO 00/54746) (30 sheets);
- (10) Request and Fee Calculation Sheet (PCT/RO/101) (4 sheets); and
- (11) A check for \$540.00 to cover the filing fee for a small entity.

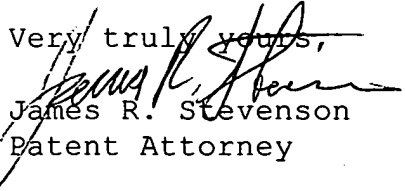
The following items will be submitted as soon as they become available:

- (12) A Declaration of Inventors And Power of Attorney form.

COMMISSIONER OF PATENTS  
NATIONAL STAGE FILING FOR  
PCT/IN00/00023  
PAGE 2 OF 2

If you have any questions about the matters discussed in this correspondence, please contact our offices accordingly.

Very truly yours,

  
James R. Stevenson  
Patent Attorney

Enclosures: as stated

JRS:sf